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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|   |                      |                        |             |
|---|----------------------|------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/604,245             |             |
|   | Filing Date          | 2003/7/4               |             |
|   | First Named Inventor | Wen-Huang Liu          |             |
|   | Art Unit             | 2811                   |             |
|   | Examiner Name        | HU, SHOUXIANG          |             |
| Total Number of Pages in This Submission  | 4                    | Attorney Docket Number | KYCP0010USA |

| ENCLOSURES (Check all that apply)  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Notification of loss of entitlement to small entity status according to 37CFR 1.27 (g)(2) |
| Remarks  |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                               |
|--|-------------------------------|
| Firm or Individual name                    | Winston Hsu, Reg. No.: 41,526 |
| Signature                                  | <i>Winston Hsu</i>            |
| Date                                       | 9/6/2004                      |

| CERTIFICATE OF TRANSMISSION/MAILING   |  |      |  |
|---|--|------|--|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |  |      |  |
| Typed or printed name   |  |      |  |
| Signature   |  | Date |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

**Complete if Known**

|                      |               |
|----------------------|---------------|
| Application Number   | 10/604,245    |
| Filing Date          | 2003/7/4      |
| First Named Inventor | Wen-Huang Liu |
| Examiner Name        | HU, SHOUXIANG |
| Art Unit             | 2811          |
| Attorney Docket No.  | KYCP0010USA   |

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

|                        |   |
|------------------------|---|
| Deposit Account Number | 50-3105                                   |
| Deposit Account Name   | North America Intellectual Property Corp. |

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid   |
|--------------|----------|--------------|----------|------------------------|------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |            |
| 1001         | 770      | 2001         | 385      | Utility filing fee     |            |
| 1002         | 340      | 2002         | 170      | Design filing fee      |            |
| 1003         | 530      | 2003         | 265      | Plant filing fee       |            |
| 1004         | 770      | 2004         | 385      | Reissue filing fee     |            |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |            |
| SUBTOTAL (1) |          |              |          |                        | (\$ ) 0.00 |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

|                    |  |              |  |                |  |          |
|--------------------|--|--------------|--|----------------|--|----------|
| Total Claims       |  | Extra Claims |  | Fee from below |  | Fee Paid |
| Independent Claims |  | -20** =      |  | X              |  |          |
| Multiple Dependent |  | -3** =       |  | X              |  |          |

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid   |
|--------------|----------|--------------|----------|--|------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |            |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |            |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                          |            |
| 1203         | 290      | 2203         | 145      | Multiple dependent claim, if not paid                      |            |
| 1204         | 86       | 2204         | 43       | ** Reissue independent claims over original patent         |            |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |            |
| SUBTOTAL (2) |          |              |          |  | (\$ ) 0.00 |

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

| Large Entity                      |          | Small Entity |          | Fee Description  | Fee Paid   |
|-----------------------------------|----------|--------------|----------|--|------------|
| Fee Code                          | Fee (\$) | Fee Code     | Fee (\$) |  |            |
| 1051                              | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |            |
| 1052                              | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |            |
| 1053                              | 130      | 1053         | 130      | Non-English specification  |            |
| 1812                              | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |            |
| 1804                              | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |            |
| 1805                              | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |            |
| 1251                              | 110      | 2251         | 55       | Extension for reply within first month                                     |            |
| 1252                              | 420      | 2252         | 210      | Extension for reply within second month                                    |            |
| 1253                              | 950      | 2253         | 475      | Extension for reply within third month                                     |            |
| 1254                              | 1,480    | 2254         | 740      | Extension for reply within fourth month                                    |            |
| 1255                              | 2,010    | 2255         | 1,005    | Extension for reply within fifth month                                     |            |
| 1401                              | 330      | 2401         | 165      | Notice of Appeal   |            |
| 1402                              | 330      | 2402         | 165      | Filing a brief in support of an appeal                                     |            |
| 1403                              | 290      | 2403         | 145      | Request for oral hearing   |            |
| 1451                              | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |            |
| 1452                              | 110      | 2452         | 55       | Petition to revive - unavoidable   |            |
| 1453                              | 1,330    | 2453         | 665      | Petition to revive - unintentional   |            |
| 1501                              | 1,330    | 2501         | 665      | Utility issue fee (or reissue)   |            |
| 1502                              | 480      | 2502         | 240      | Design issue fee   |            |
| 1503                              | 640      | 2503         | 320      | Plant issue fee  |            |
| 1460                              | 130      | 1460         | 130      | Petitions to the Commissioner  |            |
| 1807                              | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |            |
| 1806                              | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |            |
| 8021                              | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |            |
| 1809                              | 770      | 2809         | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |            |
| 1810                              | 770      | 2810         | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |            |
| 1801                              | 770      | 2801         | 385      | Request for Continued Examination (RCE)                                    |            |
| 1802                              | 900      | 1802         | 900      | Request for expedited examination of a design application                  |            |
| Other fee (specify)               |          |              |          |  |            |
| *Reduced by Basic Filing Fee Paid |          |              |          |  |            |
| SUBTOTAL (3)                      |          |              |          |  | (\$ ) 0.00 |

**SUBMITTED BY**

(Complete (if applicable))

|                   |             |                                   |          |           |              |
|-------------------|-------------|-----------------------------------|----------|-----------|--------------|
| Name (Print/Type) | Winston Hsu | Registration No. (Attorney/Agent) | 41,526   | Telephone | 886289237350 |
| Signature         |             | Date                              | 9/6/2004 |           |              |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Wen-Huang Liu  
Tzu-Feng Tseng  
Min-Hsun Hsieh  
Ting-Wei Yeh  
Jen-Shui Wang

Examiner: HU, SHOUXIANG

Filing Date: 2003/7/4

App. No.: 10/604,245

Assignee: EPISTAR CORPORATION

Docket No.: KYCP0010USA

Title: LIGHT EMITTING DIODE HAVING AN ADHESIVE LAYER AND A  
REFLECTIVE LAYER AND MANUFACTURING METHOD THEREOF

To: Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Subject: Notification of loss of entitlement to small entity status according to  
37CFR 1.27 (g)(2)

Dear Sir:

This is a notification according to 37CFR 1.27 (g)(2) that the small entity status of the assignee indicated above is not longer appropriate. The employee number of the assignee has increased and is more than 500 persons recently. The assignee of the present application is thus no longer fit the small entity standards set forth in 13 CFR part 121.

Sincerely yours;

Winston Hsu Date: 2/6/2004

Winston Hsu

Patent Agent No.41,526

5 P.O.BOX 506

Merrifield, VA22116

U.S.A

e-mail:Winstonhsu@naipo.com

(Please contact me by e-mail if you need a telephone communication and I will return

10 your call promptly.)